



ACT
Government

Chief Minister, Treasury and
Economic Development

Share Voting Activity

1 July 2018 to 30 June 2019

The Chief Minister, Treasury and Economic Development Directorate (CMTEDD) utilises the services of global proxy voting advisor, Institutional Shareholder Services (ISS) in relation to share voting for directly owned share investments. ISS provides research and voting recommendations on all company governance matters based on the ISS Sustainability Policy guidelines. The share voting services include the maintenance of a share voting database holding details of the share voting activity. This report provides details of the share voting activity for the period identified.

Publication Date: July 2019

Produced By: Asset Liability Management, Economic and Financial Group, Chief Minister, Treasury and Economic Development Directorate

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Table 1 –Antitakeover Related

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Adjourn Meeting	30	31	27	3	0	0	1	0	0	0	27	3	30	0
Adopt or Increase Supermajority Vote Requirement for Amendments	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Adopt or Increase Supermajority Vote Requirement for Removal of Directors	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Adopt, Renew or Amend NOL Rights Plan (NOL Pill)	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Adopt, Renew or Amend Shareholder Rights Plan (Poison Pill)	15	15	7	8	0	0	0	0	0	0	7	8	15	0
Allow Board to Use All Outstanding Capital Authorizations in the Event of a Public Tender Offer or Share Exchange Offer	1	5	0	5	0	0	0	0	0	0	0	5	5	0
Amend Right to Call Special Meeting	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Approve Modification in Share Ownership Disclosure Threshold	8	9	6	3	0	0	0	0	0	0	6	3	9	0
Approve/Amend Stock Ownership Limitations	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Board to Issue Shares in the Event of a Public Tender Offer or Share Exchange Offer	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Authorize the Company to Call EGM with Two Weeks' Notice	79	79	78	0	0	0	1	0	0	0	78	0	78	0
Company-Specific--Organization-Related	3	3	0	3	0	0	0	0	0	0	0	3	3	0
Eliminate/Restrict Right to Call a Special Meeting	1	1	1	0	0	0	0	0	0	0	1	0	1	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Permit Board to Amend Bylaws Without Shareholder Consent	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Provide Right to Act by Written Consent	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Provide Right to Call Special Meeting	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Reduce Supermajority Vote Requirement	24	32	32	0	0	0	0	0	0	0	32	0	32	0
Remove Double-Voting Rights for Long-Term Registered Shareholders	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Renew Partial Takeover Provision	24	26	26	0	0	0	0	0	0	0	26	0	26	0
Require Advance Notice for Shareholder Proposals/Nominations	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Totals for Antitakeover Related:	197	219	194	23	0	0	2	0	0	0	194	23	217	0

Table 2 –Capitalization

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Amend Articles/Charter Equity-Related	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Amend Articles/Charter to Reflect Changes in Capital	7	8	7	1	0	0	0	0	0	0	7	1	8	0
Approve Cancellation of Capital Authorization	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Capital Raising	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Change-of-Control Clause	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Issuance of Equity or Equity-Linked Securities with or without Pre-emptive Rights	125	131	116	13	0	0	2	0	0	0	116	13	129	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Approve Issuance of Equity or Equity-Linked Securities without Pre-emptive Rights	231	310	253	51	0	0	6	0	0	0	253	51	304	0
Approve Issuance of Securities Convertible into Debt	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Issuance of Shares for a Private Placement	37	38	29	9	0	0	0	0	0	0	29	9	38	0
Approve Issuance of Warrants/Convertible Debentures	4	4	3	0	1	0	0	0	0	0	3	1	3	1
Approve Reduction in Share Capital	116	117	112	0	0	0	5	0	0	0	112	0	112	0
Approve Reduction/Cancellation of Share Premium Account	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Reverse Stock Split	7	7	7	0	0	0	0	0	0	0	7	0	7	0
Approve Shares Issued for a Private Placement to a Director or Executive	3	6	6	0	0	0	0	0	0	0	6	0	6	0
Approve Stock Split	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Tender Offer	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve/Amend Conversion of Securities	17	31	29	2	0	0	0	0	0	0	29	2	31	0
Approve/Amend Securities Transfer Restrictions	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Authorize Board to Increase Capital in the Event of Demand Exceeding Amounts Submitted to Shareholder Vote Above	33	34	26	8	0	0	0	0	0	0	26	8	34	0
Authorize Board to Set Issue Price for 10 Percent of Issued Capital Pursuant to Issue Authority without Pre-emptive Rights	15	15	8	7	0	0	0	0	0	0	8	7	15	0
Authorize Capital Increase for Future Share Exchange Offers	13	14	9	5	0	0	0	0	0	0	9	5	14	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Authorize Capital Increase of up to 10 Percent of Issued Capital for Future Acquisitions	37	38	27	11	0	0	0	0	0	0	27	11	38	0
Authorize Capitalization of Reserves for Bonus Issue or Increase in Par Value	51	55	44	10	0	0	1	0	0	0	44	10	54	0
Authorize Directed Share Repurchase Program	6	6	4	1	0	0	1	0	0	0	4	1	5	0
Authorize Issuance of Bonds/Debentures	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Authorize Issuance of Equity Upon Conversion of a Subsidiary's Equity-Linked Securities	4	5	3	2	0	0	0	0	0	0	3	2	5	0
Authorize Issuance of Equity or Equity-Linked Securities with Pre-emptive Rights	84	85	76	9	0	0	0	0	0	0	76	9	85	0
Authorize Issuance of Investment Certificates	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Authorize Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Pre-emptive Rights	19	20	14	5	0	0	1	0	0	0	14	5	19	0
Authorize New Class of Preferred Stock	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Authorize Reissuance of Repurchased Shares	40	41	16	25	0	0	0	0	0	0	16	25	41	0
Authorize Share Repurchase Program	306	322	292	27	0	0	3	0	0	0	292	27	319	0
Authorize Share Repurchase Program and Cancellation of Repurchased Shares	10	10	7	0	0	0	3	0	0	0	7	0	7	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Authorize Share Repurchase Program and Reissuance of Repurchased Shares	36	38	30	2	0	0	6	0	0	0	30	2	32	0
Authorize Use of Financial Derivatives	4	4	3	0	0	0	1	0	0	0	3	0	3	0
Authorize a New Class of Common Stock	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Company Specific - Equity Related	18	23	21	1	0	0	1	0	0	0	21	1	22	0
Eliminate Class of Common Stock	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Eliminate Pre-emptive Rights	18	22	20	2	0	0	0	0	0	0	20	2	22	0
Increase Authorized Common Stock	14	14	14	0	0	0	0	0	0	0	14	0	14	0
Increase Authorized Preferred Stock	2	2	1	1	0	0	0	0	0	0	1	1	2	0
Ratify Past Issuance of Shares	27	31	27	2	2	0	0	0	0	0	27	4	29	2
Reduce Authorized Common and/or Preferred Stock	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Set Global Limit for Capital Increase to Result From All Issuance Requests	13	13	13	0	0	0	0	0	0	0	13	0	13	0
Totals for Capitalization:	479	1467	1239	195	3	0	30	0	0	0	1239	198	1434	3

Table 3 –Directors Related

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Adopt Majority Voting for Uncontested Election of Directors	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Allow Directors to Engage in Commercial Transactions with the Company and/or Be Involved with Other Companies	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Amend Articles Board-Related	27	33	29	3	0	0	1	0	0	0	29	3	32	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Appoint Alternate Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration]	53	57	55	2	0	0	0	0	0	0	55	2	57	0
Appoint Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration]	171	350	309	41	0	0	0	0	0	0	309	41	350	0
Approve Decrease in Size of Board	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Director/Officer Liability and Indemnification	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Discharge -- Other	5	7	6	1	0	0	0	0	0	0	6	1	7	0
Approve Discharge of Auditors	14	15	14	0	0	0	1	0	0	0	14	0	14	0
Approve Discharge of Board and President	84	101	95	5	0	0	1	0	0	0	95	5	100	0
Approve Discharge of Management Board	113	146	129	13	0	0	4	0	0	0	129	13	142	0
Approve Discharge of Management and Supervisory Board	6	6	6	0	0	0	0	0	0	0	6	0	6	0
Approve Discharge of Supervisory Board	75	192	158	31	0	0	3	0	0	0	158	31	189	0
Approve Executive Appointment	7	11	11	0	0	0	0	0	0	0	11	0	11	0
Approve Increase in Size of Board	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve Remuneration of Directors and/or Committee Members	188	213	179	15	0	0	19	0	0	0	179	15	194	0
Approve the Spill Resolution	7	7	0	7	0	0	0	0	0	0	7	0	7	0
Authorize Board to Fix Remuneration of Internal Statutory Auditor(s)	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Change Range for Size of the Board	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Company Specific--Board-Related	3	5	2	3	0	0	0	0	0	0	2	3	2	3
Declassify the Board of Directors	8	8	8	0	0	0	0	0	0	0	8	0	8	0
Deliberations on Possible Legal	8	8	0	8	0	0	0	0	0	0	8	0	8	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Action Against Directors/(Internal)														
Auditors														
Dismiss/Remove Director(s)/Auditor(s) (Non-contentious)	8	13	13	0	0	0	0	0	0	0	13	0	13	0
Elect Alternate/Deputy Directors	14	18	17	0	0	0	1	0	0	0	17	0	17	0
Elect Board Chairman/Vice-Chairman	43	45	31	11	0	0	3	0	0	0	32	10	42	0
Elect Board of Directors and Auditors (Bundled)	2	2	0	2	0	0	0	0	0	0	0	2	2	0
Elect Director	1540	11830	11045	610	13	122	40	0	0	0	11045	745	11787	3
Elect Director (Cumulative Voting or More Nominees Than Board Seats)	1	6	4	1	0	0	1	0	0	0	4	1	5	0
Elect Director (Management)	1	12	0	0	0	0	12	0	0	0	0	0	0	0
Elect Directors (Bundled)	26	26	15	7	0	0	4	0	0	0	15	7	22	0
Elect Members and Deputy Members of Corporate Assembly and/or Committee of Representatives	1	14	0	0	0	0	14	0	0	0	0	0	0	0
Elect Representative of Employee Shareholders to the Board	4	7	4	3	0	0	0	0	0	0	7	0	7	0
Elect Subsidiary Director	1	10	10	0	0	0	0	0	0	0	10	0	10	0
Elect Supervisory Board Member	62	199	164	24	0	0	11	0	0	0	164	24	188	0
Elect Supervisory Board Members (Bundled)	1	1	0	1	0	0	0	0	0	0	0	1	1	0
Eliminate Cumulative Voting	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Establish Range for Board Size	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Fix Board Terms for Directors	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Fix Number of Directors and/or Auditors	58	67	67	0	0	0	0	0	0	0	67	0	67	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Indicate Personal Interest in Proposed Agenda Item	18	18	0	18	0	0	0	0	0	0	18	0	18	0
Indicate X as Independent Board Member	3	6	6	0	0	0	0	0	0	0	6	0	6	0
Provide Proxy Access Right	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Remove Age Restriction for Directors	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Totals for Directors Related :	1686	13454	12398	806	13	122	115	0	0	0	12435	904	13333	6

Table 4 –Non-Salary Compensation

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Advisory Vote on Golden Parachutes	13	13	7	6	0	0	0	0	0	0	7	6	13	0
Advisory Vote on Say on Pay Frequency	14	14	0	0	0	0	0	14	0	0	12	2	14	0
Advisory Vote to Ratify Named Executive Officers' Compensation	1074	1195	1012	179	0	0	4	0	0	0	1014	177	1191	0
Amend Articles/Charter Compensation-Related	4	5	5	0	0	0	0	0	0	0	5	0	5	0
Amend Executive Share Option Plan	5	5	4	1	0	0	0	0	0	0	4	1	5	0
Amend Non-Employee Director Restricted Stock Plan	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Amend Non-Qualified Employee Stock Purchase Plan	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Amend Omnibus Stock Plan	63	63	56	7	0	0	0	0	0	0	56	7	63	0
Amend Qualified Employee Stock Purchase Plan	23	23	23	0	0	0	0	0	0	0	23	0	23	0
Amend Restricted Stock Plan	13	15	14	1	0	0	0	0	0	0	14	1	15	0
Amend Terms of Outstanding Options	2	2	2	0	0	0	0	0	0	0	2	0	2	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Approve Alternative Equity Plan Financing	2	3	0	3	0	0	0	0	0	0	0	3	3	0
Approve Annual Bonus Payment for Directors and Statutory Auditors	34	34	33	1	0	0	0	0	0	0	33	1	34	0
Approve Equity Plan Financing	20	37	30	5	0	0	2	0	0	0	30	5	35	0
Approve Executive Share Option Plan	41	44	30	14	0	0	0	0	0	0	30	14	44	0
Approve Increase in Aggregate Compensation Ceiling for Directors	64	66	55	11	0	0	0	0	0	0	64	2	66	0
Approve Increase in Aggregate Compensation Ceiling for Directors and Statutory Auditors	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Approve Increase in Aggregate Compensation Ceiling for Statutory Auditors	13	13	13	0	0	0	0	0	0	0	13	0	13	0
Approve Non-Employee Director Omnibus Stock Plan	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Non-Qualified Employee Stock Purchase Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Omnibus Stock Plan	63	63	55	8	0	0	0	0	0	0	55	8	63	0
Approve Outside Director Stock Awards/Options in Lieu of Cash	3	6	6	0	0	0	0	0	0	0	6	0	6	0
Approve Qualified Employee Stock Purchase Plan	70	86	83	2	0	0	1	0	0	0	82	3	85	0
Approve Remuneration Policy	170	242	174	50	0	0	18	0	0	0	174	50	224	0
Approve Remuneration of Executive Directors and/or Non-Executive Directors	38	58	48	8	0	0	2	0	0	0	48	8	56	0
Approve Restricted Stock Plan	140	155	123	32	0	0	0	0	0	0	123	32	155	0
Approve Retirement Bonuses for Directors	5	5	3	2	0	0	0	0	0	0	3	2	5	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Approve Retirement Bonuses for Statutory Auditors	2	2	0	2	0	0	0	0	0	0	0	2	2	0
Approve Share Appreciation Rights/Approve Phantom Option Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Share Plan Grant	187	259	218	40	1	0	0	0	0	0	221	38	259	0
Approve Special Payments to Continuing Directors in Connection with Abolition of Retirement Bonus System	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Stock Option Plan Grants	27	30	16	14	0	0	0	0	0	0	18	12	30	0
Approve Stock/Cash Award to Executive	5	7	4	3	0	0	0	0	0	0	4	3	7	0
Approve or Amend Severance Agreements/Change-in-Control Agreements	37	51	29	22	0	0	0	0	0	0	29	22	51	0
Approve/Amend All Employee Share Schemes	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve/Amend Bonus Matching Plan	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve/Amend Bundled Remuneration Plans	7	7	7	0	0	0	0	0	0	0	7	0	7	0
Approve/Amend Deferred Share Bonus Plan	11	14	13	1	0	0	0	0	0	0	13	1	14	0
Approve/Amend Employment Agreements	5	6	5	1	0	0	0	0	0	0	5	1	6	0
Approve/Amend Executive Incentive Bonus Plan	7	8	7	1	0	0	0	0	0	0	7	1	8	0
Approve/Amend Retirement Plan	9	18	16	2	0	0	0	0	0	0	16	2	18	0
Approve/Amend Stock-for-Salary/Bonus Plan	4	6	6	0	0	0	0	0	0	0	6	0	6	0
Company-Specific Compensation-Related	7	9	9	0	0	0	0	0	0	0	9	0	9	0
Fix Maximum Variable Compensation Ratio	9	9	9	0	0	0	0	0	0	0	9	0	9	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Totals for Non-Salary Compensation:	1327	2591	2133	416	1	0	27	14	0	0	2160	404	2564	0

Table 5 –Preferred / Bondholder

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
If you are a Senior Officer as defined in Section 37(D) of the Securities Law, 1968, vote FOR. Otherwise, vote against.	14	14	0	14	0	0	0	0	0	0	14	0	14	0
If you are an Institutional Investor as defined in Regulation 1 of the Supervision Financial Services Regulations 2009 or a Manager of a Joint Investment Trust Fund as defined in the Joint Investment Trust Law, 1994, vote FOR. Otherwise, vote against.	14	14	0	14	0	0	0	0	0	0	14	0	14	0
If you are an Interest Holder as defined in Section 1 of the Securities Law, 1968, vote FOR. Otherwise, vote against.	14	14	0	14	0	0	0	0	0	0	14	0	14	0
If you do not fall under any of the categories mentioned under items A2a through A2c, vote FOR. Otherwise, vote against.	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Indicate That You Do Not Have Personal Interest in Proposed Agenda Item	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Written Consent	1	2	0	0	2	0	0	0	0	0	0	2	2	0
Totals for Preferred/Bondholder :	15	47	3	42	2	0	0	0	0	0	45	2	47	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Table 6 –Reorganization and Mergers

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Acquire Certain Assets of Another Company	1	2	2	0	0	0	0	0	0	0	2	0	2	0
M0461 Amend Articles to: (Japan)	63	63	62	1	0	0	0	0	0	0	62	1	63	0
Approve Acquisition OR Issue Shares in Connection with Acquisition	29	35	34	0	0	0	1	0	0	0	34	0	34	0
Approve Affiliation Agreements with Subsidiaries	7	9	8	0	0	0	1	0	0	0	8	0	8	0
Approve Distribution Agreement	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Exchange of Debt for Equity	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Formation of Holding Company	5	5	5	0	0	0	0	0	0	0	5	0	5	0
Approve Joint Venture Agreement	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Approve Merger Agreement	23	24	22	0	0	0	2	0	0	0	22	0	22	0
Approve Merger by Absorption	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Pledging of Assets for Debt	11	11	11	0	0	0	0	0	0	0	11	0	11	0
Approve Reorganization/Restructuring Plan	9	9	9	0	0	0	0	0	0	0	9	0	9	0
Approve Sale of Company Assets	9	11	10	1	0	0	0	0	0	0	10	1	11	0
Approve Scheme of Arrangement	13	13	13	0	0	0	0	0	0	0	13	0	13	0
Approve Spin-Off Agreement	6	6	5	0	0	0	1	0	0	0	5	0	5	0
Approve Transaction with a Related Party	22	50	48	2	0	0	0	0	0	0	48	2	50	0
Approve/Amend Loan Guarantee to Subsidiary	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Change of Corporate Form	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Company Specific Organization Related	7	10	10	0	0	0	0	0	0	0	10	0	10	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Waive Requirement for Mandatory Offer to All Shareholders	3	3	2	0	0	0	1	0	0	0	2	0	2	0
Totals for Reorganization and Mergers:	194	263	253	4	0	0	6	0	0	0	253	4	257	0

Table 7 – Routine / Business

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Accept Consolidated Financial Statements and Statutory Reports	101	101	100	0	0	0	1	0	0	0	100	0	100	0
Accept Financial Statements and Statutory Reports	369	374	369	0	0	0	5	0	0	0	369	0	369	0
Acknowledge Proper Convening of Meeting	43	43	43	0	0	0	0	0	0	0	43	0	43	0
Adopt New Articles of Association/Charter	21	21	17	2	0	0	2	0	0	0	17	2	19	0
Allow Electronic Distribution of Company Communications	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Amend Articles/Bylaws/Charter -- Non-Routine	60	79	75	3	0	0	1	0	0	0	75	3	78	0
Amend Articles/Bylaws/Charter -- Routine	10	11	11	0	0	0	0	0	0	0	11	0	11	0
Amend Corporate Purpose	6	6	5	0	0	0	1	0	0	0	5	0	5	0
Appoint Appraiser/Special Auditor/Liquidator	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Appoint Auditors and Deputy Auditors	2	3	3	0	0	0	0	0	0	0	3	0	3	0
Appoint Censor(s)	5	8	0	8	0	0	0	0	0	0	0	8	8	0
Approve Allocation of Income and Dividends	456	457	443	8	0	0	6	0	0	0	443	8	451	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Approve Auditors and Authorize Board to Fix Their Remuneration Auditors	147	147	146	0	0	1	0	0	0	0	146	1	147	0
Approve Charitable Donations	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Approve Delisting of Shares from Stock Exchange	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Dividends	171	173	170	0	0	0	3	0	0	0	170	0	170	0
Approve Financial Statements, Allocation of Income, and Discharge Directors	32	32	22	3	0	0	7	0	0	0	22	3	25	0
Approve Minutes of Previous Meeting	39	39	30	0	0	0	9	0	0	0	30	0	30	0
Approve Political Donations	64	64	63	0	0	0	1	0	0	0	63	0	63	0
Approve Publication of Information in English	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Approve Remuneration of Directors and Auditors	16	16	15	0	0	0	1	0	0	0	15	0	15	0
Approve Special Auditors' Report Regarding Related-Party Transactions	44	44	32	12	0	0	0	0	0	0	32	12	44	0
Approve Special/Interim Dividends	12	14	14	0	0	0	0	0	0	0	14	0	14	0
Approve Standard Accounting Transfers	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve Stock Dividend Program	19	20	20	0	0	0	0	0	0	0	20	0	20	0
Approve XX XXX, 20XX, as Record Date for Effectiveness of This Meeting's Resolutions	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Approve/Amend Regulations on General Meetings	4	5	5	0	0	0	0	0	0	0	5	0	5	0
Authorize Board to Fix Remuneration of External Auditor(s)	145	146	136	1	0	0	9	0	0	0	136	1	137	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Authorize Board to Ratify and Execute Approved Resolutions	29	32	32	0	0	0	0	0	0	0	32	0	32	0
Authorize Filing of Required Documents/Other Formalities	80	82	82	0	0	0	0	0	0	0	82	0	82	0
Change Company Name	11	11	11	0	0	0	0	0	0	0	11	0	11	0
Change Fiscal Year End	1	1	1	0	0	0	0	0	0	0	1	0	1	0
Change Location of Registered Office/Headquarters	3	4	4	0	0	0	0	0	0	0	4	0	4	0
Designate Inspector or Shareholder Representative(s) of Minutes of Meeting and/or Vote Tabulation	46	46	43	0	0	0	3	0	0	0	43	0	43	0
Designate X as Independent Proxy	37	37	36	0	0	0	1	0	0	0	36	0	36	0
Discuss/Approve Company's Corporate Governance Structure/Statement	2	2	0	0	0	0	2	0	0	0	0	0	0	0
Elect Chairman of Meeting	40	40	34	0	0	0	6	0	0	0	34	0	34	0
Elect Member(s) of Audit Committee	1	3	3	0	0	0	0	0	0	0	3	0	3	0
Elect Member(s) of Nominating Committee	20	26	12	1	0	0	13	0	0	0	12	1	13	0
Elect Member(s) of Remuneration Committee	38	137	113	18	0	0	6	0	0	0	113	18	131	0
Miscellaneous Proposal: Company-Specific	10	11	10	0	0	0	1	0	0	0	10	0	10	0
Other Business	37	39	0	38	0	0	1	0	0	0	4	34	38	0
Prepare and Approve List of Shareholders	43	43	43	0	0	0	0	0	0	0	43	0	43	0
Ratify Alternate Auditor	3	5	5	0	0	0	0	0	0	0	5	0	5	0
Ratify Auditors	864	898	891	0	1	0	6	0	0	0	891	1	892	0
Ratify Past Allocation of Income and Dividends	1	1	1	0	0	0	0	0	0	0	1	0	1	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Receive/Approve Report/Announcement	18	20	20	0	0	0	0	0	0	0	20	0	20	0
Receive/Approve Special Report	11	11	11	0	0	0	0	0	0	0	11	0	11	0
Totals for Routine/Business :	1367	3267	3086	94	1	1	85	0	0	0	3090	92	3182	0

Table 8 –Shareholder - Compensation

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Adjust Executive Compensation Metrics for Share Buybacks	4	4	1	3	0	0	0	0	0	0	3	1	4	0
Advisory Vote to Ratify Named Executive Officers' Compensation	3	3	3	0	0	0	0	0	0	0	0	3	3	0
Clawback of Incentive Payments	4	4	4	0	0	0	0	0	0	0	0	4	4	0
Company-Specific--Compensation-Related	7	9	1	8	0	0	0	0	0	0	8	1	9	0
Increase Disclosure of Executive Compensation	4	4	3	1	0	0	0	0	0	0	2	2	4	0
Limit Executive Compensation	8	8	1	7	0	0	0	0	0	0	7	1	8	0
Limit/Prohibit Accelerated Vesting of Awards	5	5	5	0	0	0	0	0	0	0	0	5	5	0
Link Executive Pay to Social Criteria	14	14	14	0	0	0	0	0	0	0	0	14	14	0
Performance-Based and/or Time-Based Equity Awards	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Report on Pay Disparity	4	4	0	4	0	0	0	0	0	0	4	0	4	0
Use GAAP for Executive Compensation Metrics	4	4	0	4	0	0	0	0	0	0	4	0	4	0
Totals for SH -Compensation:	49	60	32	28	0	0	0	0	0	0	29	31	60	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Table 9 –Shareholder – Corporate Governance

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Amend Articles/Bylaws/Charter to Remove Antitakeover Provisions	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Approve Recapitalization Plan for all Stock to Have One-vote per Share	7	7	7	0	0	0	0	0	0	0	1	6	7	0
Company-Specific--Governance-Related	6	9	1	8	0	0	0	0	0	0	7	2	9	0
Initiate Share Repurchase Program	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Proxy Voting Disclosure	3	3	3	0	0	0	0	0	0	0	0	3	3	0
Proxy Voting Tabulation	2	2	1	1	0	0	0	0	0	0	1	1	2	0
Reduce Supermajority Vote Requirement	11	11	9	2	0	0	0	0	0	0	5	6	11	0
Submit Severance Agreement (Change-in-Control) to Shareholder Vote	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Totals for Shareholder-Corporate Governance:	30	35	23	12	0	0	0	0	0	0	15	20	35	0

Table 10 –Shareholder – Directors Related

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Adopt Proxy Access Right	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Amend Articles Board-Related	3	3	0	3	0	0	0	0	0	0	3	0	3	0
Amend Articles/Bylaws/Charter - Call Special Meetings	16	16	15	1	0	0	0	0	0	0	1	15	16	0
Amend Proxy Access Right	18	18	18	0	0	0	0	0	0	0	0	18	18	0
Amend Vote Requirements to Amend Articles/Bylaws/Charter	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Appoint Alternate Internal Statutory Auditor(s) [and Approve	8	14	7	4	0	0	3	0	0	0	11	0	11	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Auditor's/Auditors' Remuneration]														
Appoint Chairman of Internal Statutory Auditor(s) [and Approve His/Her Remuneration]	2	2	2	0	0	0	0	0	0	0	2	0	2	0
Board Diversity	9	9	1	8	0	0	0	0	0	0	8	1	9	0
Change Size of Board of Directors	3	3	3	0	0	0	0	0	0	0	3	0	3	0
Company-Specific Board-Related	10	15	10	5	0	0	0	0	0	0	14	1	15	0
Declassify the Board of Directors	4	4	4	0	0	0	0	0	0	0	4	0	4	0
Deliberations on Possible Legal Action Against Directors/(Internal) Auditors	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Elect Director (Cumulative Voting or More Nominees Than Board Seats)	1	3	2	0	0	0	1	0	0	0	2	0	2	0
Elect Director (Dissident)	4	27	20	7	0	0	0	0	0	0	21	6	27	0
Elect Supervisory Board Members (Bundled)	7	13	6	1	0	0	6	0	0	0	7	0	7	0
Elect a Shareholder-Nominee to the Board (Proxy Access Nominee)	10	19	6	13	0	0	0	0	0	0	17	2	19	0
Establish Environmental/Social Issue Board Committee	3	3	1	2	0	0	0	0	0	0	2	1	3	0
Establish Other Governance Board Committee	4	4	0	4	0	0	0	0	0	0	4	0	4	0
Provide Right to Act by Written Consent	30	30	27	3	0	0	0	0	0	0	3	27	30	0
Removal of Existing Board Directors	9	16	0	16	0	0	0	0	0	0	15	1	16	0
Require Environmental/Social Issue Qualifications for Director Nominees	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Require Majority of Independent Directors on Board	1	1	0	1	0	0	0	0	0	0	1	0	1	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Require a Majority Vote for the Election of Directors	3	3	3	0	0	0	0	0	0	0	0	3	3	0
Restore or Provide for Cumulative Voting	2	2	0	2	0	0	0	0	0	0	2	0	2	0
Totals for Shareholder-Directors Related:	121	209	128	71	0	0	10	0	0	0	121	78	199	0

Table 11 –Shareholder – General Economic Issues

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Employ Financial Advisor to Explore Alternatives to Maximize Value	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Totals for Shareholder-General Economic Issues:	1	1	0	1	0	0	0	0	0	0	1	0	1	0

Table 12 –Shareholder – Health / Environment

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Climate Change Action	2	5	0	5	0	0	0	0	0	0	5	0	5	0
Community -Environment Impact	6	6	6	0	0	0	0	0	0	0	0	6	6	0
GHG Emissions	8	8	8	0	0	0	0	0	0	0	0	8	8	0
Phase Out Nuclear Facilities	4	21	0	21	0	0	0	0	0	0	21	0	21	0
Prepare Report on Health Care Reform	1	1	1	0	0	0	0	0	0	0	0	1	1	0
Product Toxicity and Safety	4	4	4	0	0	0	0	0	0	0	0	4	4	0
Publish Two Degree Scenario Analysis	2	2	2	0	0	0	0	0	0	0	0	2	2	0
Recycling	4	4	4	0	0	0	0	0	0	0	0	4	4	0
Renewable Energy	3	4	1	3	0	0	0	0	0	0	3	1	4	0
Report on Climate Change	4	4	4	0	0	0	0	0	0	0	0	4	4	0

Meeting-Level Statistical Issues Summary (SIS) Report
Report was run from: 7/1/18 to 6/30/19

Report on Sustainability	3	3	3	0	0	0	0	0	0	0	0	3	3	0
Review Drug Pricing or Distribution	2	2	0	2	0	0	0	0	0	0	2	0	2	0
Totals for Shareholder-Health/Environment:	36	64	33	31	0	0	0	0	0	0	31	33	64	0

Table 13 –Shareholder – Other / Miscellaneous

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Animal Welfare	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Charitable Contributions	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Company-Specific -- Shareholder Miscellaneous	7	11	0	11	0	0	0	0	0	0	11	0	11	0
Gender Pay Gap	13	13	13	0	0	0	0	0	0	0	0	13	13	0
Political Contributions Disclosure	31	31	29	2	0	0	0	0	0	0	2	29	31	0
Political Lobbying Disclosure	20	20	19	1	0	0	0	0	0	0	1	19	20	0
Report on EEO	7	7	6	1	0	0	0	0	0	0	1	6	7	0
Totals for Shareholder-Other/miscellaneous:	72	84	67	17	0	0	0	0	0	0	17	67	84	0

Table 14 –Shareholder – Routine/Business

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Amend Articles/Bylaws/Charter -- Non-Routine	5	6	0	6	0	0	0	0	0	0	6	0	6	0
Amend Meeting Procedures	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Amend Ordinary Business Items	5	9	0	9	0	0	0	0	0	0	9	0	9	0
Approve Allocation of Income/Distribution Policy	2	2	0	1	0	0	1	0	0	0	1	0	1	0
Approve Alternative Income Allocation/Distribution Policy	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Company-Specific -- Miscellaneous	9	16	3	13	0	0	0	0	0	0	16	0	16	0

Meeting-Level Statistical Issues Summary (SIS) Report

Report was run from: 7/1/18 to 6/30/19

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Establish Shareholder Advisory Committee	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Require Independent Board Chairman	46	46	45	1	0	0	0	0	0	0	1	45	46	0
Totals for Shareholder – Routine/Business:	67	82	48	33	0	0	1	0	0	0	36	45	81	0

Table 15 –Shareholder – Social / Human Rights

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Human Rights Risk Assessment	10	10	9	1	0	0	0	0	0	0	1	9	10	0
Improve Human Rights Standards or Policies	4	4	4	0	0	0	0	0	0	0	0	4	4	0
Operations in High Risk Countries	1	1	0	1	0	0	0	0	0	0	1	0	1	0
Totals for Shareholder – Social / Human Rights:	15	15	13	2	0	0	0	0	0	0	2	13	15	0

Table 16 –Social Proposal

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Miscellaneous Proposal -- Environmental & Social	13	19	15	4	0	0	0	0	0	0	4	15	19	0
Totals for Social Proposal:	13	19	15	4	0	0	0	0	0	0	4	15	19	0

Table 17 – Totals for the Report

Action	Meetings	Proposals	Votes For	Votes Against	Votes Abstain	Votes Withhold	DNV	1 YR	2 YRS	3 YRS	With Mgmt.	Against Mgmt.	With Policy	Against Policy
Totals for the report	1804	21877	19665	1779	20	123	276	14	0	0	19672	1929	21592	9